# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	)	
	)	•
	a)	
•	) .	
ANDRES GAVINO ALVAREZ DE LA LLANA	M.D.)	File No. 800-2015-014189
	)	·
Physician's and Surgeon's	)	
Certificate No. A54374	)	
•	)	·
Respondent	)	,

#### **DECISION**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on March 21, 2019.

IT IS SO ORDERED February 19, 2019.

MEDICAL BOARD OF CALIFORNIA

Ronald H. Lewis, MD, Chair

Panel A

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1 2 3 4 5 6	XAVIER BECERRA Attorney General of California ROBERT MCKIM BELL Supervising Deputy Attorney General TRINA L. SAUNDERS Deputy Attorney General State Bar No. 207764 California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 269-6516 Facsimile: (213) 897-9395			
7	Attorneys for Complainant			
8 9 10	BEFORE THE  MEDICAL BOARD OF CALIFORNIA  DEPARTMENT OF CONSUMER AFFAIRS			
	STATE OF CALIFORNIA			
11				
12	In the Matter of the Accusation Against:	Case No. 800-2015-014189		
13	_	OAH No. 2018060487		
14	ANDRES GAVINO ALVAREZ DE LA LLANA, M.D.,			
15	ELAIVA, IVI.D.,	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER		
16	Respondent.			
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18	IT IS HEREBY STIPULATED AND	AGREED by and between the parties to the		
19	above-entitled proceedings that the following matters are true:			
20	<u>PARTIES</u>			
21	1. Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board			
22	of California (Board). She brought this action solely in her official capacity and is represented in			
23	this matter by Xavier Becerra, Attorney General of the State of California, by Trina L. Saunders,			
24	Deputy Attorney General.			
25	2. Respondent Andres Gavino Alvarez De La Llana, M.D. (Respondent) is represented			
26	in this proceeding by attorney Raymond J. McMahon, whose address is 5440 Trabuco Road,			
27	Irvine, California 92620.	•		
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3. On June 28, 1995, the Board issued Physician's and Surgeon's Certificate No. A 54374 to Andres Gavino Alvarez De La Llana, M.D. (Respondent). That license was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2015-014189, and will expire on November 30, 2018, unless renewed.

#### JURISDICTION

- 4. Accusation No. 800-2015-014189 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on May 25, 2018. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2015-014189 is attached as Exhibit A and incorporated herein by reference.

#### ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2015-014189. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### CULPABILITY

9. Respondent understands and agrees that the charges and allegations in Accusation No. 800-2015-014189, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.

- 10. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondent hereby gives up his right to contest those charges.
- 11. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

#### **CONTINGENCY**

- 12. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

#### **DISCIPLINARY ORDER**

#### A. PUBLIC REPRIMAND

**IT IS HEREBY ORDERED** that Physician's and Surgeon's Certificate No. A 54374 issued to Respondent Andres Gavino Alvarez De La Llana, M.D. is hereby publicly reprimanded

pursuant to California Business and Professions Code section 2227, subdivision (a)(4). This Public Reprimand, which is issued in connection with Respondent's care and treatment of one patient, as set forth in Accusation No. 800-2015-014189, is as follows:

In 2015, you committed acts of negligence by failing to adequately document the results of the patient's physical examination, documenting conflicting information regarding the patient taking Coumadin, and incorrectly documenting the patient's ultrasound results, indicating that she had deep vein thrombosis, when it was ruled out by ultrasound.

B. <u>EDUCATION COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours. The educational program(s) or course(s) shall be aimed at correcting areas of deficient practice or knowledge and shall be Category I certified. The education course(s) will address treatment of deep vein thrombosis, among other areas of internal medicine. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

Respondent shall submit a certificate of successful completion of each course taken to the Board or its designee not later than 15 calendar days after successfully completing each course. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course.

Failure to successfully satisfy the 40 hours of education described above within 180 days of the effective date of the decision shall be a violation of this agreement and Disciplinary Order, and shall constitute unprofessional conduct.

C. <u>MEDICAL RECORD KEEPING COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall

successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

#### **ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Raymond J. McMahon, Esq. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED: 2-6-2018

ANDRES GAVINO ALVAREZ DE LA LLANA,
M.D.
Respondent

I have read and fully discussed with Respondent ANDRES GAVINO ALVAREZ DE LA LLANA, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: <u>Dr. 7,2018</u>

RAYMOND J. MCMAHON Attorney for Respondent

STIPULATED SETTLEMENT (800-2015-014139)

#### **ENDORSEMENT**

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

Dated: 12/1/8

Respectfully submitted,

XAVIER BECERRA
Attorney General of California
ROBERT MCKIM BELL

TRINA L. SAUNDERS
Deputy Attorney General
Attorneys for Complainant

Supervising Deputy Attorney General

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### Exhibit A

Accusation No. 800-2015-014189

1 2. 3	XAVIER BECERRA Attorney General of California JUDITH T. ALVARADO Supervising Deputy Attorney General RICHARD D. MARINO	FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA		
4	Deputy Attorney General State Bar No. 90471	SACRAMENTO <u>May 25</u> 20 <u>/ 8</u> BY MSU ANALYST		
5	California Department of Justice 300 So. Spring Street, Suite 1702			
6	Los Angeles, CA 90013 Telephone: (213) 269-6444 Facsimile: (213) 897-9395			
7	Attorneys for Complainant			
8	BEFORE THE  MEDICAL BOARD OF CALIFORNIA  DEPARTMENT OF CONSUMER AFFAIRS  STATE OF CALIFORNIA			
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10		<del></del>		
11	In the Matter of the Accusation Against:	Case No. 800-2015-014189		
1.2	Andres Gavino Alvarez De La Llana, M.D. 12480 Pocono Road	ACCUSATION		
13	Apple Valley, CA 92308			
14	Physician's and Surgeon's Certificate No. A 54374,			
15	Respondent.			
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19	<u>PAR'</u>			
20	1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official			
21	capacity as the Executive Director of the Medical Board of California, Department of Consumer			
22	Affairs (Board).			
23	2. On or about June 28, 1995, the Medical Board issued Physician's and Surgeon's			
24	Certificate Number A 54374 to Andres Gavino Alvarez De La Llana, M.D. (Respondent). The			
25	Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the			
26	charges brought herein and will expire on November 30, 2018, unless renewed.			
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#### **JURISDICTION**

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
  - 4. Section 2227 of the Code provides:
  - "(a) A licensee whose matter has been heard by an administrative law judge of the Medical Quality Hearing Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found guilty, or who has entered into a stipulation for disciplinary action with the board, may, in accordance with the provisions of this chapter:
    - "(1) Have his or her license revoked upon order of the board.
  - "(2) Have his or her right to practice suspended for a period not to exceed one year upon order of the board.
  - "(3) Be placed on probation and be required to pay the costs of probation monitoring upon order of the board.
  - "(4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
  - "(5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
  - "(b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1."
  - 5. Section 2234 of the Code states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
  - "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
  - 6. Section 2266 of the Code states:

"The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

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#### STANDARD OF CARE

- 7. The standard of care required of an internist as well as physicians and surgeons is to maintain medical records that are accurate and legible so that they can be used to remind himself of the care he has provided for his patient, and to communicate information about his patient to other healthcare providers.
- 8. The standard of care for an internist is to follow up the result of a diagnostic test he has ordered, and if the result is abnormal, create a plan to treat the cause of the abnormal test result.

#### FIRST CAUSE FOR DISCIPLINE

#### (Gross Negligence)

- 9. Respondent Andres Gavino Alvarez De La Llana, M.D. is subject to disciplinary action pursuant to Business and Professions Code section 2234, subdivision (b), in that he committed gross negligence during his care, treatment and management of one patient, as follows:
  - A. Respondent is an internist who practices in Victorville and Apple Valley, California.
  - B. The patient was a 71-year-old female with a history of obesity, diabetes mellitus II, hypertension, chronic kidney disease, and osteoarthritis, who initially presented to Respondent on April 6, 2015.
  - C. Although she had a past medical history of a deep vein thrombosis of her left leg, for the preceding eight months, the patient had not been taking the anticoagulant medication prescribed by another physician and surgeon.
  - D. At her first visit with Respondent, the patient complained of a painful lump on her left leg, Respondent neither documented the result of a physical examination of the left leg nor an assessment of the painful lump on her left leg. Respondent noted that he would be ordering a Doppler ultrasound of the patient's left leg. Respondent, purportedly, ordered the ultrasound because he was suspicious that she had an acute deep vein thrombosis.

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- E. On April 6, 2015, the patient underwent an ultrasound test of her left leg. The following result: "No sonographic evidence for acute or occluding deep venous thrombosis of the left lower extremity[]" was recorded.
- F. On April 16, 2015, the patient was seen in urgent care by Y.D., a physician assistant. The patient complained of persistent swelling of her left leg. Y.D. recorded that he was aware that the patient had been seen by her primary care physician on April 6, 2015, and an ultrasound on that same date was "negative for clots." Furthermore, he reported: "Left lower leg: redness/swelling/ttp on medical aspect. + edema to ankle. NO swelling on calf." His assessment of the patient: Cellulitis of left lower leg. As a result, Respondent ordered an antibiotic—namely, sulfamethoxazole-trimethoprim, double strength, 800/160 mg oral tablet to be given twice daily for 10 days for treatment of the cellulitis.
- G. On April 20, 2015, the patient, again, was seen by Respondent. Although Respondent documented that the reason for the patient's visit was to follow up on the results of the urgent care visit on April 16, 2015, he failed to document the results of a physical examination of the patient's left leg; and, too, he failed to record the results of the ultrasound test of the left leg that was completed on that day—namely, April 20, 2015. In the assessment section of his note, he documented, "Cellulitis of left lower leg," and in the plan section he documented, "Administer Rocephin 1 gm IM x 1 dose today." Despite the patient having undergone an ultrasound test of the left leg on April 6, 2015, he wrote, "Request ASAP Doppler US of the Left Leg."
- H. There is no documentation in Respondent's records that he, Respondent, informed the patient of the results of her ultrasound test.
- I. On April 28, 2015, the patient was seen by Respondent for follow up of her left leg cellulitis. In the patient's records, under the history of present illness section, Respondent wrote "On Coumadin 5 mg po q day." However, in the same progress note, Respondent chronicled, "Has been off Coumadin x past 8 months."

- J. Respondent documented that the patient complained of a mass on the left calf muscle. His physical examination revealed a 3.5 x 5-inch tender, firm mass on her left leg. Despite the fact that the April 6, 2015 ultrasound of the left leg was negative, Respondent listed deep vein thrombosis (DVT) in the assessment section. He also listed hematoma of the leg in the assessment section and documented that his plan was to refer the patient to a vascular surgeon.
- K. On June 15, 2015, the patient, again, was seen by Respondent—this time for increased leg pain. Respondent did not document any physical examination of the patient's leg on that day. He, however, did document, in the assessment section of the patient's records, that the patient had cellulitis of left lower leg and a deep vein thrombosis (DVT).
- L. Respondent documented that the patient had been seen by a vascular surgeon who had opined that the patient had phlebitis. Respondent did not receive a written report from the surgeon and did not speak to him or, in the alternative, Respondent did not document that he had received a report or had spoken to the physician.
- M. Respondent documented in his plan section that he would request consult notes from the vascular surgeon, and he would obtain a second opinion from a vascular surgeon at Saint Joseph Medical Center in Orange, California.
- N. On July 14, 2015, S.P., M.D., the vascular surgeon, assessed the patient and wrote a letter to Respondent advising that the patient had venous insufficiency. S.P., M.D., recommended that the patient elevate her left leg when she was seated or in bed and to wear compression hose during the day.
- O. The following acts and omissions constitute extreme departures from the standard of care:
  - 1) On April 6 and 20, 2015, Respondent did not document the results of a physical examination of the patient's left leg despite the patient complaining of pain in that leg. Accordingly, Respondent either failed to assess, or he failed to document having assessed, the patient's painful left leg.

- 2) On April 28, 2015, Respondent documented the following contradictory statements: "On Coumadin 5 mg po q day," and "Has been off Coumadin x past 8 months." These conflicting assertions precluded healthcare providers from knowing if the patient was receiving Coumadin, a medication that can cause untoward side effects.
- 3) On April 28 and June 15, 2015, Respondent documented that the patient had a deep vein thrombosis even though an ultrasound on April 6, 2015 ruled out a deep vein thrombosis.
- 4) Respondent failed to follow up the result of an ultrasound test that he ordered. Moreover, he subsequently incorrectly documented that the patient had a deep vein thrombosis, despite the ultrasound showing no evidence of a deep vein thrombosis.

#### SECOND CAUSE FOR DISCIPLINE

- 10. Respondent Andres Gavino Alvarez De La Llana, M.D., is subject to disciplinary action pursuant to Business and Professions Code section 2234, subdivision (c), in that he committed repeated negligent acts during his care, treatment and management of one patient, as follows:
  - A. Complainant refers to and, by this reference, incorporates paragraph 9, above, as though fully set forth.

#### THIRD CAUSE FOR DISCIPLINE

#### (Failure to Maintain Adequate and Accurate Records)

- 11. Respondent Andres Gavino Alvarez De La Llana, M.D. is subject to disciplinary action under Business and Professions Code section 2266, in that he failed to prepare and maintain adequate and accurate patient records, as follows:
  - A. Complainant refers to and, by this reference, incorporates paragraph 9, above, as though fully set forth.

#### FOURTH CAUSE FOR DISCIPLINE

#### (Unprofessional Conduct)

- 12. Respondent Andres Gavino Alvarez De La Llana, M.D. is subject to disciplinary action under Business and Professions Code section 2234, generally, in that he committed unprofessional conduct during his care, treatment and management of one patient, as follows:
  - A. Complainant refers to and, by this reference, incorporates paragraph 9, above, as though fully set forth.

#### **PRAYER**

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 54374, issued to Andres Gavino Alvarez De La Llana, M.D.;
- 2. Revoking, suspending or denying approval of Andres Gavino Alvarez De La Llana, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Andres Gavino Alvarez De La Llana, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and
  - 4. Taking such other and further action as deemed necessary and proper.

DATED: May 25, 2018

KIMBERLY KIRCHMEYER

Executive Director

Medical Board of California
Department of Consumer Affairs

State of California

Complainant